## JC06 Rec'd PCT/PTO 19 SEP 2005

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 ATTORNEY'S DOCKET NUMBER 095309.56800US

| INTERNATIONAL APPLICATION NO.   |             |   | INTERNATIONAL FILING DATE                          | PRIORITY DATE CLAIMED |  |  |  |  |  |  |
|---|-------------|---|--|-----------------------|--|--|--|--|--|--|
| PCT/EP2004/000726   |             |   | 28 January 2004                                    | 19 March 2003         |  |  |  |  |  |  |
| TITLE OF INVENTION  |             |   |  |                       |  |  |  |  |  |  |
| METHOD FOR OPERATING THE DRIVE TRAIN OF A MOTOR VEHICLE   |             |   |  |                       |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  Klaus HEBER, et al.  |             |   |  |                       |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |             |   |  |                       |  |  |  |  |  |  |
| 1.  | $\boxtimes$ | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |  |                       |  |  |  |  |  |  |
| 2.  |             | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |  |                       |  |  |  |  |  |  |
| 3.  |             | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |                       |  |  |  |  |  |  |
| 4.  | $\boxtimes$ | The US has been elected (Article 31).   |  |                       |  |  |  |  |  |  |
| 5.  | $\boxtimes$ | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |                       |  |  |  |  |  |  |
|   |             | a. is attached hereto (required only if not communicated by the International Bureau).  |  |                       |  |  |  |  |  |  |
|   |             | b. An has been communicated by the International Bureau.  |  |                       |  |  |  |  |  |  |
|   |             | c. Is not required, as the appl   | ication was filed in the United States Receiving ( | Office (RO/US).       |  |  |  |  |  |  |
| 6.  | $\boxtimes$ | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |                       |  |  |  |  |  |  |
|   |             | a. 🔀 is attached hereto.  |  |                       |  |  |  |  |  |  |
| -   |             | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |  |                       |  |  |  |  |  |  |
| 7.  | $\boxtimes$ | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |                       |  |  |  |  |  |  |
| ì   |             | a. are attached hereto (required only if not communicated by the International Bureau).   |  |                       |  |  |  |  |  |  |
|   |             | b. have been communicated by the International Bureau.  |  |                       |  |  |  |  |  |  |
|   |             | c. have not been made; however, the time limit for making such amendments has NOT expired.  |  |                       |  |  |  |  |  |  |
|   |             | d. An have not been made and w  | vill not be made.                                  |                       |  |  |  |  |  |  |
| 8.  |             | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |                       |  |  |  |  |  |  |
| 9.  |             | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |                       |  |  |  |  |  |  |
| 10.   | $\boxtimes$ | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |  |                       |  |  |  |  |  |  |
|   | Items       | Items 11 to 20 below concern document(s) or information included:   |  |                       |  |  |  |  |  |  |
| 11.   | $\boxtimes$ | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |                       |  |  |  |  |  |  |
| 12.   |             | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |                       |  |  |  |  |  |  |
| 13.   | $\boxtimes$ | A preliminary amendment.  |  |                       |  |  |  |  |  |  |
| 14.   | $\boxtimes$ | An Application Data Sheet under 37 CFR 1.76.  |  |                       |  |  |  |  |  |  |
| 15.   |             | A substitute specification.   |  |                       |  |  |  |  |  |  |
| 16.   |             | A power of attorney and/or change of address letter.  |  |                       |  |  |  |  |  |  |
| 17.   |             | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |  |                       |  |  |  |  |  |  |
| 18.   |             | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |  |                       |  |  |  |  |  |  |
| 19.   |             | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |                       |  |  |  |  |  |  |
| 20.   | $\boxtimes$ | Other items or information: PCT/IB/304; PCT/IB/308  |  |                       |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JC20 Rec'd PET/PTO 1 9 SEP 2005

PTO-1390 (Rev. 02-2005)

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| U.S. APPLICATION  |  | ATTORNEY'S DOCKET NUMBER  095309.56800US |   |                                     |              |               |  |  |  |  |  |
|---|--|--|---|-------------------------------------|--------------|---------------|--|--|--|--|--|
| To  |  | eve been submitte                        |   | 004/000726                          | CALCULATIONS | PTO USE ONLY  |  |  |  |  |  |
|   |  |  | :u  | \$300.00                            | \$300.00     | 1 TO GOL ONET |  |  |  |  |  |
|   | mination fee   |  |   |                                     | \$300.00     |               |  |  |  |  |  |
| If International preliminary examination report prepared by USPTO and all claims  |  |  |   |                                     |              |               |  |  |  |  |  |
| satisfy provis  | sions of PCT Arti  | ****                                     |   |                                     |              |               |  |  |  |  |  |
| All other situation   |  | \$200.00                                 |   |                                     |              |               |  |  |  |  |  |
| 23. Search fee  |  |  |   |                                     |              |               |  |  |  |  |  |
| Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100   |  |  |   |                                     |              |               |  |  |  |  |  |
| International Sea   |  |  |   |                                     |              |               |  |  |  |  |  |
| All other situation   |  | \$400.00                                 |   |                                     |              |               |  |  |  |  |  |
|   | TOTAL  | L OF 21, 22 AND                          | 23 =  |                                     | \$900.00     |               |  |  |  |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |  |  |   |                                     |              |               |  |  |  |  |  |
|   |  | Number of each additional 50 or fraction |   | DATE                                |              |               |  |  |  |  |  |
| Total Sheets  | Extra Sheets   | thereof (round u                         | p to a whole number)  | RATE                                |              |               |  |  |  |  |  |
| 26 - 100  | 0 /50 =  | - 44-                                    | la-ati late tha 20  | x 250                               | \$           |               |  |  |  |  |  |
| claimed priority da   |  |  | laration later than 30 mo                                     | nths from the earliest              | \$130.00     |               |  |  |  |  |  |
| CLAIMS  |  | BER FILED                                | NUMBER EXTRA  | RATE                                |              |               |  |  |  |  |  |
| Total claims  | 11   | - 20                                     | 0   | x 50                                | \$           |               |  |  |  |  |  |
| Independent da  | ims 2  | 2 - 3                                    | 0   | x 200                               | \$           |               |  |  |  |  |  |
| MULTIPLE DEPE   | NDENT CLAIM(   | S) (if applicable)                       |   | + 360                               | \$           |               |  |  |  |  |  |
|   |  |  | TOTAL OF ABO  | VE CALCULATIONS =                   | \$1,030.00   |               |  |  |  |  |  |
| Applicant clai  | ms small entity s  | tatus. See 37 CF                         | R 1.27. Fees above are  | reduced by 1/2.                     |              |               |  |  |  |  |  |
| <u> </u>  | ,  |  |   | SUBTOTAL =                          | \$1,030.00   |               |  |  |  |  |  |
| Processing fee of   | \$130.00 for furn  | ishing the English                       | translation later than 30                                     | months from the                     |              |               |  |  |  |  |  |
| earliest claimed p  | riority date (37 C   | \$                                       |   |                                     |              |               |  |  |  |  |  |
|   | <del></del>  | \$_                                      |   |                                     |              |               |  |  |  |  |  |
|   |  |  | R 1.21 (h)). The assignme<br>R 3.28, 3.31). <b>\$40.00</b> pe |                                     | \$           |               |  |  |  |  |  |
|   | срр. ор. ос.   |  |   | L FEES ENCLOSED =                   | ٠ \$         |               |  |  |  |  |  |
|   |  |  |   |                                     | Amount to be |               |  |  |  |  |  |
|   |  |  | refunded: Amount to be  | \$                                  |              |               |  |  |  |  |  |
|   |  |  |   |                                     | charged:     | \$            |  |  |  |  |  |
| a. 🛛 A check  | k in the amount o  | of \$1,030.00 to co                      | ver the above fees is end                                     | closed.                             |              |               |  |  |  |  |  |
| <ul> <li>a.  \( \subseteq \) A check in the amount of \$1,030.00 to cover the above fees is enclosed.</li> <li>b.  \( \subseteq \) Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56800US) in the amount of \$\\$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul> |  |  |   |                                     |              |               |  |  |  |  |  |
| c.  |  |  |   |                                     |              |               |  |  |  |  |  |
| d. Fees ar  | d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not |  |   |                                     |              |               |  |  |  |  |  |
| be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                    |  |  |   |                                     |              |               |  |  |  |  |  |
| und granted to fe   | catore the miter   | iational Applical                        | ion to pending status.  |                                     |              | _             |  |  |  |  |  |
| SEND ALL CORF   | RESPONDENCE  | TO:                                      |   | 0 0%                                |              |               |  |  |  |  |  |
| Crowell   | & Moring, LLP  |  | •   | OBOCK                               |              |               |  |  |  |  |  |
|   | tual Property Gro  | oup                                      | •   | SIGNATURE                           |              |               |  |  |  |  |  |
|   | ox 14300   |  | -   | Donald D. Evenson / Song Zhu, Ph.D. |              |               |  |  |  |  |  |
|   | gton, D.C. 20044   |  |   | NAME<br>26,160/ 44,420              |              |               |  |  |  |  |  |
|   | . (202) 624-2500<br>. (202) 628-8844   |  | -   | REGISTRATION NUM                    | IBER         |               |  |  |  |  |  |
| FAX NO.   | . (202) 020-0044   |  | -   | September 19, 2005                  |              |               |  |  |  |  |  |
| /tlm (396670)   |  |  |   |                                     |              |               |  |  |  |  |  |